

FORMS MANUAL INSERT

FORM RD 1944-30

Form RD 1944-30
(Rev 10-00)

Position 3

FORM APPROVED
OMB NO. 0575-0047

UNITED STATES DEPARTMENT OF AGRICULTURE RURAL DEVELOPMENT RURAL HOUSING SERVICE

IDENTITY OF INTEREST (101) DISCLOSURE CERTIFICATE

SECTION I: TO BE COMPLETED BY ALL APPLICANTS/BORROWERS	
Applicant/Borrower Name: (1)	Project Name: (1) Location: (Town, County, State) (1)
CHECK SECTION II OR III, AS APPLICABLE	
SECTION II. TO BE COMPLETED ONLY WHEN NO IDENTITY OF INTEREST EXISTS	SECTION III. TO BE COMPLETED WHEN AN IDENTITY OF INTEREST DOES EXIST
<input type="checkbox"/> Certification of No Identity of Interest I, (1) (please print) hereby certify that I have read Section IV of this Disclosure Certificate and understand what the USDA, Rural Development, Rural Housing Service (herein referred to as Agency) has determined constitutes an Identity of Interest and that NO identity of interest relationships exist between me and ANY individual or organization doing business with the project. (Complete Section IV)	<input type="checkbox"/> Certification of No Identity of Interest I, (1) (please print) hereby certify that I have read and understand what the Agency has determined constitutes an identity of interest, as outlined in Section IV, and hereby disclose in Section V those entities with which I have an identity of interest relationship. (See Section V)
SECTION VI. IDENTITY OF INTEREST STATEMENT	
(1) When there is any financial interest between the applicant/borrower and/or management entity and the supplying entity. (2) When one or more of the officers, directors, stockholders or partners of the applicant/borrower or management entity is also an officer, director, stockholder, or partner of the supplying entity (3) When any officer, director, stockholder, or partner of the applicant/borrower and/or management entity has any financial interest whatsoever in the supplying entity. (4) When the supplying entity advances any funds to the applicant/borrower and/or management entity. (5) When the supplying entity provides and pays on behalf of the applicant/borrower and or management entity the cost of any materials and/or services in connection with obligations under the management plan/management agreement. (6) When the supplying entity takes stock or any interest in the applicant/borrower and/or management entity as part of the consideration to be paid them. (7) When there exist or come into being any side deals, agreements, contracts or understandings entered into thereby altering, amending, or cancelling any of the management plan/management agreement documents, except as approved by the Agency	

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0575-0047. The time required to complete this information collection is estimated to average 30 minutes per response, including the time for reviewing instruction, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

To be used for Multi-Family Housing Loans to evidence the existence of Identities of Interest.

Form RD 1944-31 will be attached to record qualifications of Identity of Interest entities.

(1) Entity requesting RHS funding.

(see reverse)

PROCEDURE FOR PREPARATION : RD Instructions 1930-C and 1944-E.

PREPARED BY : Applicants/Borrowers.

NUMBER OF COPIES : Original and two copies.

SIGNATURE REQUIRED : Applicants/Borrowers.

DISTRIBUTION OF COPIES : Original filed in Borrower's case file, copy to Borrower, copy to State Director.

PAGE 2 OF FORM RD 1944-30

SECTION V. TO BE COMPLETED FOR EACH IDENTITY OR INTEREST ENTITY. (ADD ADDITIONAL SHEETS IF NECESSARY). EACH IDENTITY OF INTEREST MUST COMPLETE AN IDENTITY OF INTEREST QUALIFICATION FORM (FORM RD 1944-31) WHICH MUST BE ATTACHED TO THIS CERTIFICATE.	
Type of Entity: Contractor <input type="checkbox"/>	Subcontractor <input type="checkbox"/>
Architect <input type="checkbox"/>	Attorney <input type="checkbox"/>
Property Management <input type="checkbox"/>	
Supplier of: Material <input type="checkbox"/>	
Labor <input type="checkbox"/>	
Both <input type="checkbox"/>	
Services <input type="checkbox"/>	
For example: Applicant owns the construction Company - or	
Entity Name: (2)	
Address: (2)	
Phone Number: (2)	
Trade/Business: (2)	
Describe relationship to IOI entity: (3)	
Type of Entity: Contractor <input type="checkbox"/>	Subcontractor <input type="checkbox"/>
Architect <input type="checkbox"/>	Attorney <input type="checkbox"/>
Property Management <input type="checkbox"/>	
Supplier of: Material <input type="checkbox"/>	
Labor <input type="checkbox"/>	
Both <input type="checkbox"/>	
Services <input type="checkbox"/>	
the management company.	
Entity Name: (2)	
Address: (2)	
Phone Number: (2)	
Trade/Business: (2)	
Describe relationship to IOI entity: (3)	

Page 2

(2) Company or individual sharing an identity of interest with (1).

(3) Actual position held by individual in (1) and (2).

Additional copies of form must be reproduced for additional identities of interest.

PAGE 3 OF FORM RD 1944-30

SECTION VI. TO BE COMPLETED BY ALL APPLICANTS/BORROWERS

I further understand and agree that if any of my business practices change during the life of the loan to include Identity of Interest relationships referred to above, I will file an amended disclosure Certificate. I also agree to provide a new disclosure Certificate at any time requested by the Agency.

This Certification shall be in effect for a period of three years, beginning on the _____ day
of _____.

I hereby certify, under penalty of law*, and with knowledge that this information may be verified, that the information submitted is true and accurate and that no other identity of interest relationships exist between me and any individual or organization, doing business with the project named in Section I of this Certificate, except as described above. I further understand that failure to disclose an Identity of Interest to the Agency will also subject me to any and all administrative remedies available to the Agency. Such remedies may include suspension and debarment from participating in any Agency or Federal program.

Signature

Date

Signature

Date

***WARNING:** Section 1001 of Title 18, United States Code provides: "whoever, in any matter within the jurisdiction of the executive, legislative, or judicial branch of the Government of the United States, knowingly and willfully--

- (1) falsifies, conceals, or covers up by any trick, scheme, or device a material fact;
- (2) makes any materially false, fictitious, or fraudulent statement or representation; or
- (3) makes or uses any false writing or document knowing the same to contain any materially false, fictitious, or fraudulent statement or entry;

shall be fined under this title or imprisoned not more than 5 years, or both"

Page 3

SECTION VII. GENERAL INFORMATION FOR ALL APPLICANTS/BORROWERS

Instructions for completion

Prepared by: Applicant/Borrower

NOTE: If the applicant/borrower has an identity of interest relationship both as a principal in the general partnership and as an individual, a Disclosure Certificate for each will be executed.

Number of Copies Original and Two

Signatures Required: Applicant/Borrower

Distribution of Copies: Original to Borrower file,
Copy to Borrower

NOTE: ATTACH IDENTITY OF INTEREST QUALIFICATION FORMS.
Each page and any attachments to this Certificate will be numbered and initialed by the borrower.